

## 7.5 Integrals of Scalar Functions over surfaces

Example: the mass of a thin sheet of metal  $S = \Phi(D)$  where  $D \in \mathbb{R}^2$  &  $\Phi: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  where the density of the metal is given by  $f(x, y, z)$

Definition: The integral of a scalar function over a surface

$$\iint_S f(x, y, z) dS = \iint_S f dS = \iint_D f(\Phi(u, v)) \|\mathbf{T}_u \times \mathbf{T}_v\| du dv$$

$\downarrow$  notation       $\downarrow$  defin

$$= \iint_D f(x(u, v), y(u, v), z(u, v)) \sqrt{\left(\frac{\partial x}{\partial u}\right)^2 + \left(\frac{\partial y}{\partial u}\right)^2 + \left(\frac{\partial z}{\partial u}\right)^2} du dv$$

Example

$$f(x, y, z) = \sqrt{x^2 + y^2 + z^2}, \quad S: (r \cos \theta, r \sin \theta, \theta)$$

$$D: 0 \leq \theta \leq 2\pi, 0 \leq r \leq 1$$

(Helicoid) (see p. 386 of book)

Compute  $\iint_D f dS$

$$\iint_D f dS = \iint_D f(r \cos \theta, r \sin \theta, \theta) \|\mathbf{T}_r \times \mathbf{T}_\theta\| dr d\theta$$

$$= \iint_D \sqrt{r^2 + 1} \|\mathbf{T}_r \times \mathbf{T}_\theta\| dr d\theta$$

$$\text{but } T_r = (\cos\theta, \sin\theta, 0) \Rightarrow T_r \times T_\theta = \sin\theta \vec{i} - \cos\theta \vec{j} + r \vec{k}$$

$$T_\theta = (-r\sin\theta, r\cos\theta, 1)$$

$$\Rightarrow \|T_r \times T_\theta\| = \sqrt{1+r^2}$$

$$\Rightarrow \iint_S F \, dS = \int_0^1 \int_0^{2\pi} (\sqrt{1+r^2})(\sqrt{1+r^2}) \, d\theta \, dr = 2\pi (r + r^3/3) \Big|_0^1 =$$

$$= 8\pi/3$$

### Surface integrals over graphs of functions

Suppose  $S$  is the graph of a differentiable function

$$z = g(x, y)$$

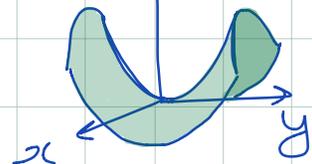
$\Rightarrow$  we can parametrize  $(u, v, g(u, v))$

$$\Rightarrow \|T_u \times T_v\| = \sqrt{1 + \left(\frac{\partial g}{\partial u}\right)^2 + \left(\frac{\partial g}{\partial v}\right)^2}$$

$$\text{So } \iint_S F(x, y, z) \, dS = \iint_D F(x, y, g(x, y)) \sqrt{1 + \left(\frac{\partial g}{\partial x}\right)^2 + \left(\frac{\partial g}{\partial y}\right)^2} \, dx \, dy$$

Example: Compute  $\iint_S \frac{x}{\sqrt{4x^2+y^2+1}} \, dS$  where

$S$  is the hyperbolic paraboloid  $z = y^2 - x^2$   
over the region  $-1 \leq y \leq 1, -1 \leq x \leq 1$

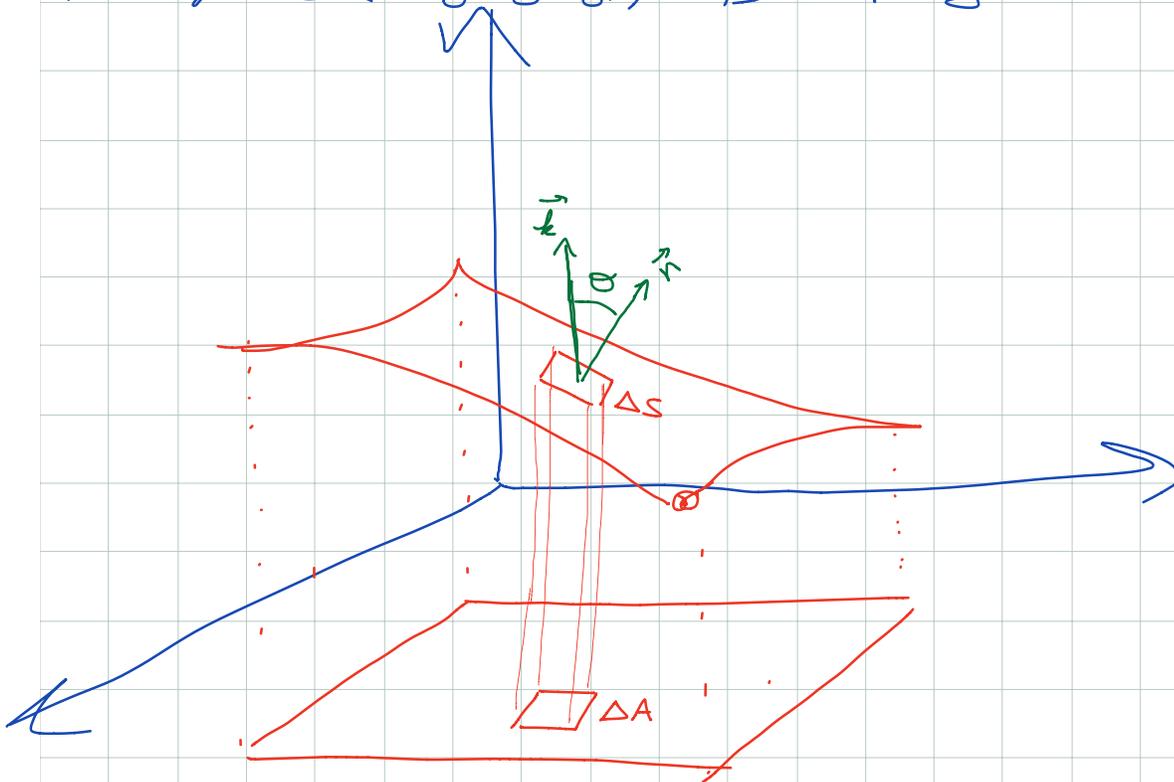


We parametrize:  $(x, y, y^2 - x^2)$

$$\begin{aligned} \iint_S e^{-x} dS &= \iint_D \frac{x}{\sqrt{4x^2 + 4y^2 + 1}} \sqrt{1 + (-2x)^2 + (2y)^2} dx dy \\ &= \iint_{-1}^1 x dx dy = \int_{-1}^1 x^2 \Big|_{-1}^1 dx dy = 0 \end{aligned}$$

### Integrals over graphs (part 2)

As before  $S: (x, y, g(x, y))$   $D$ : simple region



here  $\vec{n} = \frac{\vec{N}}{\|\vec{N}\|}$  is the unit normal and  $\vec{N} = -\frac{\partial g}{\partial x} \vec{i} - \frac{\partial g}{\partial y} \vec{j} + \vec{k}$   
is normal to the surface

(exercise: show that  $\vec{N}$  is indeed normal to  $S: (x, y, g(x, y))$ )

Ok, so since  $\vec{N} \cdot \vec{k} = \|\vec{N}\| \|\vec{k}\| \cos \theta = \|\vec{N}\| \cos \theta$

$$\text{then } \cos \theta = \frac{\vec{N} \cdot \vec{k}}{\|\vec{N}\|} = \frac{\left( \frac{\partial z}{\partial x} \vec{i} - \frac{\partial z}{\partial y} \vec{j} + \vec{k} \right) \cdot \vec{k}}{\sqrt{\left( \frac{\partial z}{\partial x} \right)^2 + \left( \frac{\partial z}{\partial y} \right)^2 + 1}}$$

$$= \frac{1}{\sqrt{\left( \frac{\partial z}{\partial x} \right)^2 + \left( \frac{\partial z}{\partial y} \right)^2 + 1}}$$

But: Recall that

$$\iint_S f(x, y, z) dS = \iint_D f(x, y, g(x, y)) \underbrace{\sqrt{1 + \left( \frac{\partial z}{\partial x} \right)^2 + \left( \frac{\partial z}{\partial y} \right)^2}}_{\frac{1}{\cos \theta}} dx dy$$

$$\text{So } \iint_S f(x, y, z) dS = \iint_D \frac{f(x, y, g(x, y))}{\cos \theta} dx dy$$

← caution:  $\theta$  depends on  $x$  &  $y$

Example: Compute the mass of the helicoid

$S: (r \cos \theta, r \sin \theta, \theta)$  where  $0 \leq r \leq 1$ ,  $0 \leq \theta \leq 2\pi$

if its mass density is  $m(x, y, z) = \sqrt{x^2 + y^2}$

$$\text{The mass } M(S) = \iint_S m(x, y, z) dS = \iint_D \sqrt{r^2} \|\vec{r}_x \times \vec{r}_\theta\| dr d\theta$$

$$= \iint_D r \sqrt{1+r^2} dr d\theta =$$

← we did this before

$$= \int_0^{2\pi} \left. \frac{2}{3} (r^2+1)^{3/2} \right|_{r=0}^{r=1} d\theta = \frac{2}{3} (2^{3/2} - 1) \cdot 2\pi$$

## Surface integrals of Vector fields

example: The volume of water per unit of time flowing "through a surface"  $S$  with velocity given by the field  $\vec{F} = \iint_{\mathcal{D}} \vec{F} \cdot d\vec{S}$   $\Phi(\mathcal{D})$

Definition: The surface integral of  $\vec{F}$  over  $\mathcal{D}$ :

$$\iint_{\mathcal{D}} \vec{F} \cdot d\vec{S} := \iint_{\mathcal{D}} \vec{F} \cdot (\vec{T}_u \times \vec{T}_v) du dv$$

Interpretation: take the parallelepiped given by  $\vec{T}_u \Delta u$  and  $\vec{T}_v \Delta v$  and  $\vec{F}$ . Its volume is  $\vec{F} \cdot (\vec{T}_u \times \vec{T}_v) \Delta u \Delta v$  and can be seen as the volume of fluid passing through the parallelepiped per unit of time. Summing over all parallelepipeds like this and taking  $\Delta u, \Delta v \rightarrow 0$  gives the total volume of fluid flowing through  $S$  per unit of time.

— x —

$$\text{Flux} = \iint_{\mathcal{D}} \vec{F} \cdot d\vec{S} \quad (\text{terminology})$$

example:  $\vec{F}(x, y, z) = (x, y, z)$

$S$ : sphere of radius 1.

find  $\iint_S \vec{F} \cdot d\vec{S}$

Sol'n: We parametrize  $S$ :  $(\cos \theta \sin \phi, \sin \theta \sin \phi, \cos \phi)$

$$\Rightarrow \vec{T}_\theta = (-\sin\theta \sin\phi, \cos\theta \sin\phi, 0)$$

$$\vec{T}_\phi = (\cos\theta \cos\phi, \sin\theta \cos\phi, -\sin\phi)$$

$$\Rightarrow \vec{T}_\theta \times \vec{T}_\phi = (-\cos\theta \sin^2\phi, -\sin\theta \sin^2\phi, -\sin\phi \cos\phi)$$

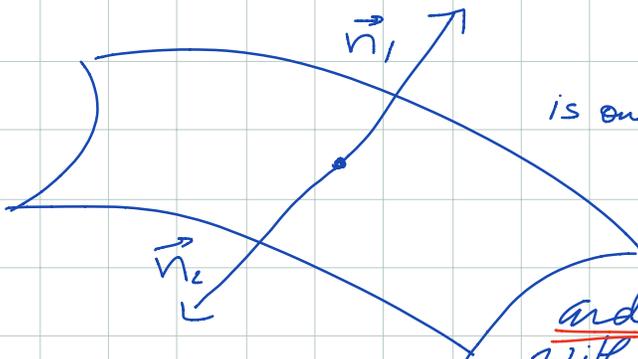
&  $\vec{F}$  on the sphere is  $(\cos\theta \sin\phi, \sin\theta \sin\phi, \cos\phi)$

$$\begin{aligned} \Rightarrow \vec{F} \cdot (\vec{T}_\theta \times \vec{T}_\phi) &= -\cos^2\theta \sin^3\phi - \sin^2\theta \sin^3\phi - \sin\phi \cos^2\phi \\ &= -\sin^3\phi - \sin\phi \cos^2\phi = -\sin\phi \end{aligned}$$

$$\Rightarrow \iint_D \vec{F} \cdot (\vec{T}_\theta \times \vec{T}_\phi) d\theta d\phi = \int_0^{2\pi} \int_0^\pi -\sin\phi d\phi d\theta = -4\pi$$



Remark: We implicitly chose an orientation for the surface when we used  $\vec{T}_\theta \times \vec{T}_\phi$  instead of  $\vec{T}_\phi \times \vec{T}_\theta$ . In the fluid flow example this is analogous to asking how much fluid is going "out" of the surface instead of "into" it. So what is orientation?



An oriented surface is one where at each  $(x, y, z) \in S$  there are 2 unit normals  $\vec{n}_1$  &  $\vec{n}_2$  with  $\vec{n}_1 = -\vec{n}_2$  and each can be associated with a side of the surface.

Not every surface is orientable: e.g. Möbius strip is not.

Example: The unit sphere can be given an orientation by selecting  $\vec{n} = x\vec{i} + y\vec{j} + z\vec{k}$  (this points "outwards")

In the previous example <sup>with the sphere</sup> our parametrization gave  $\vec{T}_\theta \times \vec{T}_\phi = -\vec{n} \underbrace{\sin\phi}_{+ve}$  (why?)

$\Rightarrow$  our parametrization was orientation reversing (vs orientation preserving).

Theorem: Surface integrals are independent of parametrization (provided they are orientation preserving).

This is true for scalar fields and vector fields

Theorem: 
$$\iint_S \vec{F} \cdot d\vec{S} = \iint_S \vec{F} \cdot \vec{n} \, dS$$
  
$$\underbrace{(\vec{T}_u \times \vec{T}_v) \, du \, dv}_{\frac{\vec{T}_u \times \vec{T}_v}{\|\vec{T}_u \times \vec{T}_v\|} \|\vec{T}_u \times \vec{T}_v\| \, du \, dv}$$

Example: Heat flow: If  $T(x, y, z)$  is the temperature at  $(x, y, z)$  then  $\nabla T = \frac{\partial T}{\partial x}\vec{i} + \frac{\partial T}{\partial y}\vec{j} + \frac{\partial T}{\partial z}\vec{k}$  is the temperature gradient.  $\vec{F} = -k\nabla T$  is a vector field associated with heat flow and  $\iint_S \vec{F} \cdot d\vec{S}$  is the flux (or total rate of heat flow) across  $S$ .

Suppose  $T(x, y, z) = x^2 + y^2 + z^2$ . Find the heat flux across the unit sphere oriented with the outward normal. (use  $k=1$ )

$\vec{n} = x\vec{i} + y\vec{j} + z\vec{k}$  is the outward normal

$$\vec{F} = -\nabla T = -2x\vec{i} - 2y\vec{j} - 2z\vec{k}$$

$$\begin{aligned} \Rightarrow \iint_S \vec{F} \cdot \vec{n} \, dS &= - \iint_S (2x\vec{i} + 2y\vec{j} + 2z\vec{k}) \cdot (x\vec{i} + y\vec{j} + z\vec{k}) \, dS \\ &= -2 \iint_S (x^2 + y^2 + z^2) \, dS = -2 \underbrace{A(S)}_{4\pi r^2} = -8\pi \end{aligned}$$

### Surface integrals over graphs

Suppose that  $S$  is the graph of a function, so

$S: (x, y, g(x, y))$  and suppose  $S$  is oriented with upward pointing normal (i.e.  $\vec{k}$  component is +ve)

$$\vec{n} = \frac{-\frac{\partial g}{\partial x}\vec{i} - \frac{\partial g}{\partial y}\vec{j} + \vec{k}}{\sqrt{\left(\frac{\partial g}{\partial x}\right)^2 + \left(\frac{\partial g}{\partial y}\right)^2 + 1}} \quad \text{bec.} \quad T_x \times T_y = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 1 & 0 & \frac{\partial g}{\partial x} \\ 0 & 1 & \frac{\partial g}{\partial y} \end{vmatrix}$$

Then

$$\iint_S \vec{F} \cdot d\vec{S} = \iint_D (F_1\vec{i} + F_2\vec{j} + F_3\vec{k}) \cdot \left(-\frac{\partial g}{\partial x}\vec{i} - \frac{\partial g}{\partial y}\vec{j} + \vec{k}\right) dx dy$$

$$\iint_S \vec{F} \cdot d\vec{S} = \iint_D \left(F_1\left(-\frac{\partial g}{\partial x}\right) + F_2\left(-\frac{\partial g}{\partial y}\right) + F_3\right) dx dy$$

Example:  $z = x^2 + y^2$ ,  $x^2 + y^2 \leq 4$  describes a paraboloid  $S$

Suppose  $\vec{F} = -y\vec{i} + x\vec{j} + \vec{k}$

Compute  $\iint_S \vec{F} \cdot d\vec{S}$

method (1):  $S$  is the graph of function

$$\text{so } \iint_S \vec{F} \cdot d\vec{S} = \iint_D (F_1 \left(-\frac{\partial z}{\partial x}\right) + F_2 \left(-\frac{\partial z}{\partial y}\right) + F_3) dx dy$$

$$= \iint_D (-y(-2x) + x(-2y) + 1) dx dy = A(D) = 4\pi$$

$$\text{method (2): } \vec{T}_x \times \vec{T}_y = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 0 & 0 & 2x \\ 0 & 1 & 2y \end{vmatrix} = -\frac{\partial z}{\partial x} \vec{i} - \frac{\partial z}{\partial y} \vec{j} + \vec{k} = -2x\vec{i} - 2y\vec{j} + \vec{k}$$

$$\Rightarrow \iint_S \vec{F} \cdot d\vec{S} = \dots = 4\pi$$

method (3):  $\vec{n} = \frac{\vec{T}_x \times \vec{T}_y}{\|\vec{T}_x \times \vec{T}_y\|}$  &  $\vec{F} \cdot \vec{n} = \dots$   
or get  $\vec{n}$  geometrically

$$\Rightarrow \iint_S \vec{F} \cdot \vec{n} dS = \iint_D \underbrace{(\vec{F} \cdot \vec{n})}_{\text{scalar}} \underbrace{\|\vec{T}_x \times \vec{T}_y\|}_{dS} du dv$$